

HA DIEP NGUYEN

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Krannert School of Management, Purdue University
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EMPLOYMENT	Krannert School of Management, Purdue University Assistant Professor Jun 2020 -
	Department of Banking and Finance, Foreign Trade University Lecturer 2011 – 2015
EDUCATION	Indiana University Bloomington PhD in Business, Finance concentration 2015 – 2020 <i>Thesis: Motivation and Distortion: The Economics of Incentives in the Credit Market</i>
	University of Southampton MSc in International Banking and Financial Studies 2010 – 2011
	Foreign Trade University BA in International Business Studies 2004 – 2008
RESEARCH INTERESTS	Credit Markets, Household Finance, Corporate Finance, Financial Distress
WORKING PAPERS	1. Social Collateral (<i>with Huong Dang</i>) Using a randomized field experiment with credit card borrowers of a retail bank, we find that not only social image incentives strongly reduce delinquency, but the effects are as significant as those of other important material incentives, e.g., credit reputation. Borrower’s responses are mostly driven by instrumental role of reputation in social interactions, à la Bénabou and Tirole (2006). 2. Simultaneous Debt-Equity Holdings and Distress Resolution (<i>with Yongqiang Chu, Jun Wang, Wei Wang and Wenyu Wang</i>) Constructing a comprehensive data set of financially distressed firms that restructured out of court or in bankruptcy, we find that simultaneous holdings of debt and equity help facilitate cost-effective distress resolution by mitigating the creditor-shareholder conflicts. 3. Index Investing and Corporate Investment-Price Sensitivity (<i>with Matthew Billett and Jon Garfinkel</i>) Peer index membership reduces firm investment- peer stock price sensitivity, consistent with the hypothesis that index investing undermines managers’ abilities to learn from stock prices. The results highlight the spillover effects of passive investment on real firm decisions.

WORK IN PROGRESS 4. Trust in Crowdfunding: Experimental Evidence from a Fund-raising Campaign (with Jun Yang). 5. Distress Resolution under Capital Requirement
6. The Greying of US Bankruptcy

ACADEMIC ACTIVITIES

Invited Seminars:

2021: Indiana University • York University

2020: University of Virginia • Rutgers University • Georgetown University

Conference Presentations:

2021: Wabash River Conference • FMA Diversity Emerging Scholar Initiative

2020: NBER SI Household Finance • WFA • SFS Cavalcade • EFA • FIRS • Dartmouth/Minnesota/Maryland Virtual Corporate Finance Fridays • NFA • MFA • 9th MoFiR Workshop on Banking

2019: Colorado Finance Summit • AFA • SFS Calvacade • MFA • FMA • Australasian Finance and Banking Conference

Discussion:

2021:

Linda Allen, Lin Peng and Yu Shan, "Social Networks and Supply and Demand on Online Lending Marketplaces", MFA (Mar 2021)

Ray Kluender, Neale Mahoney, Francis Wong, and Wesley Yin, "The Burden of Medical Debt and the Impact of Debt Forgiveness", AEA (Jan 2021).

2018:

Guangqian Pan, "Patience is a Virtue: Evidence from Insolvency", AFBC (Dec 2018)

Ettore Croci, Mieszko Mazur and Galla Salganik-Shoshan, "Facilitating Takeovers and Takeover Premia: the Case of Coordinated Monitoring", FMA (Oct 2018)

Referee:

Review of Finance, Management Science, International Review of Finance

TEACHING

Krannert School of Management, Purdue University

Advanced Corporate Finance (MGMT 413)

Fall 2020 - Current

Kelley School of Business, Indiana University Bloomington

Intermediate Corporate Finance (F305)

Fall 2018

FTU and Niels Brock Copenhagen Business College Joint Program

International Financial Management

Fall 2011 – Spring 2015

HONORS AND AWARDS

Kelley Outstanding Doctoral Student Award, 2020

Colorado Finance Summit Best PhD Paper Award, 2019

National Foundation for Science and Technology Research Grant, 2019-2020
Kelley School of Business Research Productivity Award, 2016, 2017, 2018, 2019
MFA Student Travel Award, 2020
AFA Travel Grant, 2019
Indiana University Graduate School Fellowship, 2015-2019

AFFILIATION CFA Charter Holder

Updated: December, 2021